

- New Profile
 Update FI Info: Profile # _____ Edit ACH Options: Profile # _____

ACH Profile Setup Request



**Please contact your
Financial Advisor for
delivery instructions**

0 1 4 2 6		
Form #	Account #	
Branch #	FA #	Speed Dial #

Financial Institution (FI) Information	
ABA Routing #: _____	Financial Institution: _____ <i>(Bank or Financial Institution name)</i>
FI Account #: _____	FI Account Registration: _____ Name(s) on Account <i>Ex: (Doe, John/Jane or Trust Name including Trustee name(s))</i>
Example: <input type="text" value="0123456789012"/> Routing Number	<input type="text" value="123456789012"/> Account Number
Type of Account: <input type="radio"/> Checking <input type="radio"/> Savings	

Income Management Options
These options allow you to choose when funds will be credited to the financial institution account referenced above, upon payment (2 business days after payable date) or specific frequency of your choosing.
<input type="radio"/> Interest <input type="radio"/> Dividends <input type="radio"/> All Mutual Fund Dividends (Except 529 Plans) <input type="radio"/> Miscellaneous Income
Frequency: (Daily unless specified otherwise below)
<input type="radio"/> Weekly _____ Day of Week <input type="radio"/> Semi-Monthly _____ 1st Day _____ 2nd Day <input type="radio"/> Monthly _____ Day of Month
<input type="radio"/> Quarterly <input type="radio"/> Semi-Annually <input type="radio"/> Annually _____ Start (Month/Day)

Trade Settlement Options (for Regular Settlement Trades (T+3) only)
<input type="radio"/> Buys <input type="radio"/> Periodic Investment Plan (PIPs) <i>Funds will be debited from your Financial Institution on settlement date of trade(s) or PIP(s).</i>
<input type="radio"/> Sells <input type="radio"/> Systematic Withdrawal Plan (SWPs) <i>Funds will be credited to your Financial Institution on settlement date of trade(s) or SWP(s).</i>
<input type="radio"/> Bond Redemptions <input type="radio"/> Bond Maturities

Scheduled Transaction Options
Periodic payments may be received in your Financial Institution account on or before scheduled payment dates.
<input type="radio"/> Periodic Bank Drafting: <i>Funds will be debited from your financial institution on the specified drafting dates.</i>
<input type="radio"/> Periodic Payments: <i>Funds will be credited to your financial institution on specified payment dates.</i>
Frequency (please select one):
<input type="radio"/> Weekly _____ Day of Week <input type="radio"/> Semi-Monthly _____ 1st Day _____ 2nd Day <input type="radio"/> Monthly _____ Day of Month
<input type="radio"/> Quarterly <input type="radio"/> Semi-Annually <input type="radio"/> Annually _____ Start (Month/Day) Amount per Transaction: \$ _____

Client Acknowledgments and Signatures			
I understand that Raymond James & Associates, Inc. ("RJA") will not contact me to verify transfers made in connection with this Letter of Authorization. I authorize RJA to act upon instructions from any account holder acting individually for the account stated above. RJA is authorized to debit or credit the Financial Institution in connection with transactions in my brokerage account as specified above. I can discontinue this service at any time. I acknowledge that RJA does not maintain or verify Financial Institution Account information and I attest that I have full acting authority over the Financial Institution Account. I agree to indemnify, hold harmless, and waive any claims against RJA and its affiliates, from any loss, or claim which may be incurred by reason of a transfer of funds and/or securities to the account(s). Transfers will be reflected on my account statements for which I accept the responsibility to review and ensure the accuracy thereof and agree to hold RJA and its affiliates, harmless from any loss or claim which may be incurred by reason of a transfer of funds to the account stated above.			
RJ Account Client Signature	Date	FI Account Holder Signature (if different registration)	Date
RJ Account Client Signature (if applicable)	Date	Branch Manager Signature (required for third-party transactions)	Date